



## 香港證券市場收費表

### Fee and Charges for Hong Kong Securities Market

(生效日期 Effective From: 17/11/2023)

#### A) 證券交易費用 Charges for Securities Trading

佣金收費 Commission Charges		
一般經紀佣金 General Commission Fee	成交金額的 0.25% 0.25% of Transaction Amount	最低每宗交易港幣 100 Minimum HK\$100 per Transaction
交易費用及其他交易徵費 Transaction Fees and Other Transaction Levy		
政府印花稅 Stamp Duty	0.1% 成交金額計算 0.1% of Transaction Amount	不足港幣 1 元亦作 1 元計算 Rounded Up to The Nearest Dollar
證監會交易徵費 SFC Transaction Levy	0.0027% 成交金額計算 0.0027% of Transaction Amount	
港交所交易費 HKEX Trading Fee	0.00565% 成交金額計算 0.00565% of Transaction Amount	
財匯局交易徵費 FRC Transaction Levy	0.00015% 成交金額計算 0.00015% of Transaction Amount	
中央結算交收費 CCASS Fee	0.002% 成交金額計算 0.002% of Transaction Amount	每宗買賣最低港幣 2，最高港幣 100 Minimum HK\$2, Maximum HK\$100

#### B) 代理人服務及企業行動收費 Nominees Services and Corporate Actions Fees

服務項目 Services Item	收費 Fee	
實物股票存入/轉手紙釐印費 Physical Scrip Deposit/Transfer Deed Fee	每張港幣 5 HK\$5 per Transfer Deed	
實物股票提取 Withdrawal of Physical Scrip	每手港幣 5，碎股亦視作一手 HK\$5 per Board Lot, Odd Lot Treated as Board Lot	另加每隻股票港幣 50 Plus HK\$50 per Each Stock Withdrawal
股票轉倉指示 S.I. Settlement Instruction	存入免費 Free for Deposit	
	提出每隻股票交易金額的 0.05% 0.05% of Previous Market Value of Each Stock Transfer Out	每單最低港幣 50 Each Stock Minimum HK\$50
股票轉倉指示 I.S.I. Investor Settlement Instruction	存入/提出免費 Free for Deposit/Transfer Out	
代收股息/以股代息 Collection of Dividend/Stock in Lieu of Cash	股息的 0.50% 0.50% of Dividend	最低港幣 20 Minimum HK\$20
代收紅股/Bonus Share	免費 Free	
行使供股權及認股權認購 Exercise of Rights and Warrants	每手港幣 1 HK\$1 per Board Lot	最低港幣 50 Minimum HK\$50
代收牛熊證剩餘價/行使備兌認股證費	每單港幣 150	



Callable Bull/Bear Contracts Residual Value/ Exercising Covered Warrant	HK\$150 per Transaction	
股份私有化 Cash Offer	收取金額的 0.50% 0.50% of the Collection Amount	最低港幣 100 Minimum HK\$100
登記過戶費 Scrip Fee	每手港幣 1.5 HK\$1.5 per Board Lot	
現金認購新股手續費 Handling Charges for Cash Subscription of IPO	每單港幣 100 HK\$100 per Transaction	
融資認購新股手續費 Handling Charges of Margin Financing in Subscription of IPO	借款利息 Interest on Borrowed Amount	另加每單港幣 100 Plus HK\$100 per Transaction
強制性補購股份 Compulsory Buy-in	0.25%沽空金額，以 T+2 收市價計算 Based on T+2 Closing Price, 0.25% of Short Sell Value	另加每單港幣 200 Plus HK\$200 per Transaction
代追股息委託費 Handling Charges of Unclaimed Dividend	0.12%股息手續費 0.12% of Dividend Collection	另加每單港幣 500 Plus HK\$500 per Claim

C) 其他服務 Other Services

服務項目 Services Item	收費 Fee	
現金帳戶逾期交收利息 (年息) Cash Account Overdue Interest (p.a.)	最優惠利率 + 10% (最優惠利率以 中國銀行(香港) 公佈利率為準) Prime Rate + 10% (Prime Rate According to Bank of China (Hong Kong) Quoting)	
保證金帳戶借貸利息 (年息) Margin Financing Interest (p.a.)	最優惠利率 + 4% (最優惠利率以 中國銀行(香港) 公佈利率為準) Prime Rate + 4% (Prime Rate According to Bank of China (Hong Kong) Quoting) 違約利率：最優惠利率 + 10% Default Interest Rate : Prime Rate + 10%	
跨行轉賬付款(香港) CHATS (in HK)	銀行費用 Bank Charges	另加每次港幣 400 Plus HK\$400 per Transaction
電匯 (國內/海外) Telegraph transfer (Mainland / Overseas)	銀行費用 Bank Charges	另加每次港幣 200 Plus HK\$200 per Transaction
資產證明信函 Letter of Confirmation on Assets	每封信港幣 300 HK\$300 per Letter	
終止支票付款 Stop Payment	每次港幣 300 HK\$300 per Stop Payment	
退票 Return Cheque	每次港幣 200 HK\$200 per Transaction	另加違約利率利息支出 Plus Default Interest Rate Charges
郵寄結單服務 Paper Statement Service	年費港幣 100 Annual Fee HK\$100	

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